7 A RTO 330 Rev. 07-2005) se prouga 28/200 0 8 0651-0021 IAP7 GGP 1 D TAPPY Gyor Use frou I AN 1260 100 B 0651-0021 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371**

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER 033393-055194 U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/533,622

PRIORITY DATE CLAIMED

PCT/US03/034686 30 October 2003 (30.10.2003) 30 October 2002 (30.10.2002) TITLE OF INVENTION METHODS FOR TREATING AND PREVENTING APOPTOSIS-RELATED DISEASES USING

INTERNATIONAL FILING DATE

RNA INTERFERING AGENTS

	nlata; NARASIMAHSWAMY, Manjunath; SONG, Erwei; LEE, Sang-Kyung and INCE, Nedim
Applicant h	nerewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:
1. 🔲 TI	his is a FIRST submission of items concerning a submission under 35 U.S.C. 371.
2. X Tr	nis is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.
	nis is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items 5), (6), (9) and (21) indicated below.
4. 🔲 TI	ne US has been elected (Article 31).
5	A copy of the International Application as filed (35 U.S.C. 371(c)(2))
	a. is attached hereto (required only if not communicated by the International Bureau).
	b. has been communicated by the International Bureau.
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
	a. is attached hereto.
	b. has been previously submitted under 35 U.S.C. 154(d)(4).
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
	a. are attached hereto (required only if not communicated by the International Bureau).
	b. have been communicated by the International Bureau.
	c. have not been made; however, the time limit for making such amendments has NOT expired.
	d. have not been made and will not be made.
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. X	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
Items 1	1 to 20 below concern document(s) or information included:
11.	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.	A preliminary amendment.
14. 🗶	An Application Data Sheet under 37 CFR 1.76.
15.	A substitute specification.
16.	A power of attorney and/or change of address letter.
17.	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
18	A second copy of the published International Application under 35 U.S.C. 154(d)(4).
19	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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U.S. APPLICA	TION NO. (if known 10/533,622	•	INTERNATIONAL AI PCT/US03		ATTORNEY'S DOCKET NUMBER 033393-055194			
20. Other items or information: Express Mail Cert. EV653001857US; Petition for Extension of Time; COPY-Notification of Missing Requirements; and Return Receipt Postcard.								
The foll	owing fees have b	een submitted	_		CALCULATIONS	PTO USE ONLY		
21. 🔲 Basi	ic national fee (37	CFR 1.492(a))		\$300	\$			
22. Exan	nination fee (37 CI	FR 1.492(c))	<u>-</u>					
by IPEA	nion prepared by IS /US indicates all cl ns	\$						
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB\$400 All other situations\$500					\$			
	TOTAL OF 21, 22	2 and 23 =			0.00			
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.								
Total Sheets	Extra Sheets		Number of each additional 50 or fraction RATE thereof (round up to a whole number)					
- 100 = /50 =				x \$250	\$ 0.00) I		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).					\$ 0.00			
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$			
Total claims		- 20 =		x \$ 50	\$			
Independent clai	ms	- 3 =		× \$200	\$			
MULTIPLE DEPI	ENDENT CLAIM	S) (if applicable)		+ \$360	\$ 0.00			
				CALCULATIONS =	\$ 0.00	·		
Applicant cla	aims small entity si	tatus. See 37 CFI	R 1.27. Fees above are redu		0.00	* ****		
SUBTOTAL =					\$ 0.00)]		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	0.00			
TOTAL NATIONAL FEE =				\$	0.00			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	0.00			
Two month ext. of time fee TOTAL FEES ENCLOSED =					\$	225.00 x @x00		
	· · · · · · · · · · · · · · · · · · ·				Amount to be refunded:	\$		
				<u></u>	Amount to be charged	\$ 225.00		



a.	A check in the amount of \$ to cover the above fees is enclosed. Please charge my Deposit Account No. 50-0850 in the amount of \$ to cover the above fees.					
	A duplicate copy of this sheet is enclosed.					
c. X	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No50-0850 A duplicate copy of this sheet is enclosed.					
d. []	Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
David NIXC 100 S	S. Resnick N PEABODY LLP ummer Street n, MA 02110 David S. Resnick/Leena H. Karttunen NAME 34,235/L0207 REGISTRATION NUMBER					

IN THE UNITED STATES RECEIVING OFFICE

PCT/US03/34686	30 October 2003 (30.10.2003)	30 October 2002 (30.10.2002)
International Application Number	International Filing Date	International Earliest Priority Date

TITLE OF INVENTION: METHODS FOR TREATING AND PREVENTING APOPTOSIS-RELATED DISEASES USING RNA INTERFERING AGENTS

APPLICANT(S) FOR EO/DO: THE CENTER FOR BLOOD RESEARCH, INC.

INVENTOR(S)/APPLICANT(S) FOR US: LIEBERMAN, Judy et al.

U.S.S.N.: 10/533,622

MAIL STOP PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EV 653001857 US Date of Deposit January 17, 2006

I hereby state that the following attached paper or fee

- 1. Express Mail Certificate EV 653001857 US (1 pg.);
- 2. Form 1390 page 3 in duplicate (4 pp.);
- 3. Petition for Extension of Time in duplicate (2 pp.);
- 4. COPY-Notification of Missing Requirements (2 pp.);
- 5. Executed Oath and Declaration (20 pp.);
- 6. Supplemental Application Datasheet (9 pp.); and
- 7. Return Receipt Postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10, on the date indicated above and is addressed to the MAIL STOP PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

1/1

Rebecca J. Goodwin

Signature of person mailing paper o



<u>United States Patent</u> and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/533,622

Judy Leiberman

033393-055194

INTERNATIONAL APPLICATION NO.

PCT/US03/34686 I.A. FILING DATE

PRIORITY DATE

10/30/2003

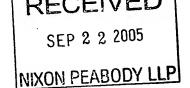
10/30/2002

CONFIRMATION NO. 1754 371 FORMALITIES LETTER



OC000000017035115

David S Resnick Nixon Peabody 100 Summer Štreet Boston, MA 02110



Date Mailed: 09/16/2005

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 04/29/2005
- Copy of the International Search Report filed on 04/29/2005
- Preliminary Amendments filed on 04/29/2005
- Small Entity Statement filed on 04/29/2005
- U.S. Basic National Fees filed on 04/29/2005
- Priority Documents filed on 04/29/2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

• Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

DEBORAH D WILLIAMS

Telephone: (703) 308-9140 EXT 205

PART 1 - ATTORNEY/APPLICANT COPY

HC Appro-	- ATTORNEY/APPLICANT COPY	
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	
10/533,622	PCT/US03/34686	ATTY. DOCKET NO.
FORM PCT/DO/EO/905 (371 Formalities as a	30707000	033393-055194

FORM PCT/DO/EO/905 (371 Formalities Notice)